

1. **Conflict of Interest.** SIUE's Policy on Conflict of Interest and Commitment defines Conflict of Interest as any situation in which an employee of the University uses or is in a position to use his or her influence or authority within the University to advance his or her own personal or financial interest or the personal or financial interests of his or her immediate family or an associated entity.

Questions to ask yourself when reviewing a disclosure:

- **Does someone involved with the project or University have ownership of publicly-traded company?**
 - **Large dollar amount?**
 - **Does ownership represent a significant portion of outstanding market capitalization?**
- **Does someone involved with the project or University have ownership of non-publicly-traded company?**
 - **Is company activity related to grant activity or faculty responsibilities at the University?**
 - **Is ownership percentage significant?**

Examples of COIs

1. An Investigator proposes that SIUE accept funding in support of his or her research from an entity in which the Investigator (or his or her Immediate Family Member) has an Employment/Management/Fiduciary Role.
 2. An Investigator proposes that SIUE accept research funding from an entity to assist in developing technologies owned by the entity where the Investigator is part owner or receives funding from.
 3. An Investigator proposes that SIUE use or test a product made by an entity in which the Investigator (or his or her Immediate Family Member) has an Ownership Interest.
 4. An Investigator proposes that SIUE accept a subcontract for research in the Investigator's laboratory from a "small business" start-up company founded by the Investigator and in which the Investigator has an Ownership Interest.
 5. An Investigator proposes that SIUE use or test in a clinical research study a product or process that is patented by SIUE and which the Investigator receives Royalty Income
1. **Conflict of Commitment.** SIUE's policy on Conflict of Commitment encompasses any situation in which outside activities undertaken by the individual are sufficiently demanding of the individual's time and attention as to interfere, or appear to interfere, with his or her obligations to the University.

Conflicts of commitment that are not appropriate could occur, for example, in the following areas:

1. A faculty member dedicating too much time on outside employment or work external to their SIUE job responsibilities.
2. A faculty member accepting an unpaid position on a company's Scientific Board of Advisors and having access to and/or divulging confidential information when the company is sponsoring the faculty member's research.
3. A faculty member uses institution resources, including office or laboratory space and secretarial services in support of his or her personal consulting or external employment.